

Milnor Attractors of Skew Products with the Fiber a Circle*

A. Okunev[†]

July 18, 2016

Abstract

We prove that for a generic skew product with circle fiber over an Anosov diffeomorphism the Milnor attractor (also called the likely limit set) coincides with the statistical attractor, is Lyapunov stable, and either has zero Lebesgue measure or coincides with the whole phase space. As a consequence we conclude that such skew product is either transitive or has non-wandering set of zero measure. The result is proved under the assumption that the fiber maps preserve the orientation of the circle, and the skew product is partially hyperbolic.

1 Introduction

This paper is motivated by the following open questions by Yu.S. Ilyashenko:

- Is there an open set of diffeomorphisms with Lyapunov unstable attractor? ([ISh])
- Is there an open set of diffeomorphisms with thick (i.e. having positive, but not full, Lebesgue measure) attractor?

The word “attractor” here is usually understood as “Milnor attractor” (see definition 2.1), but there are many other nonequivalent definitions of attractors.

A breakthrough in the study of the first question was recently done by I. Shilin ([Shi], in preparation). He established local topological genericity of diffeomorphisms with Lyapunov unstable Milnor attractor.

A positive answer to the second question was obtained by Yu. Ilyashenko [Ily] for boundary preserving diffeomorphisms of manifolds with boundary.

In this paper, the questions stated above are studied for a particular class of diffeomorphisms of closed manifolds, namely, for partially hyperbolic skew products whose central fibers are circles.

Skew products with one-dimensional fibers form an important class of dynamical systems. On one hand, this class has numerous interesting properties: attractors with intermingled basins [Kan], bony [Kud], [Kud2] and thick [Ily] attractors and so on. On the other hand, this class is relatively simple.

Non boundary preserving skew products with the fiber a segment were studied by V. Kleptsyn and D. Volk [KV], [KV2]. For such skew products there is a finite collection of

*The final publication is available at Springer via <http://dx.doi.org/10.1007/s10883-016-9334-7>

[†]National Research University Higher School of Economics; supported by part by a grant of the Simons Foundation;

attracting and repelling invariant sets, each of them is a so-called bony graph. A bony graph is almost a graph of a function from the base to the fiber, but some points in the base correspond not to a single point, but to an interval in the fiber. The attractor has zero measure. Lyapunov stability of the attractor remained an open question.

In this paper we give a negative answer to both questions we started with for orientation-preserving skew products with circle fiber. We prove that typically the Milnor attractor is Lyapunov stable and not thick, and coincides with the statistical attractor (see definition 2.3). As an easy corollary we get that either the skew product is transitive or the nonwandering set has zero measure. Lyapunov stability of the attractor also applies to the interval fiber case, since any skew product with interval fiber can be continued to a skew product with circle fiber. However, it is unknown whether the attractor is asymptotically stable even for the interval fiber.

Main ingredients of the proof are

- using the semicontinuity lemma to get Lyapunov stability (as in [MP])
- the fact that the statistical ω -limit set of a generic point is saturated by unstable leaves ([BDV]).

The results of this paper also hold for step skew products, see [OSh] (in preparation).

2 Definitions and results

Definition 2.1 ([Mil], p.180). *For a diffeomorphism F of a riemannian manifold X , the Milnor attractor (it is also called the likely limit set) of F (notation: $A_M(F)$) is the smallest (with respect to inclusion) closed subset of X containing ω -limit sets of Lebesgue-almost all points.*

Definition 2.2. *We will call the statistical ω -limit set of point x (notation: $\omega_{stat}(x)$) the union of all points $y \in X$, such that for any neighborhood U of y*

$$\limsup_{N \rightarrow +\infty} \frac{1}{N} |\{n : F^n(x) \in U, 0 \leq n < N\}| > 0.$$

Definition 2.3 ([AAISh], §8.2; see also [GI]). *The statistical attractor (notation: $A_{stat}(F)$) is defined exactly like the Milnor attractor, but ω -limit set in the definition is replaced by statistical ω -limit set.*

Remark. *The existence of Milnor attractor is proved in [Mil, Lemma 1]. The existence of statistical attractor can be proved in the same way. The definition of statistical attractor in [AAISh] is a little different from stated above, but it is easy to see that these definitions are equivalent.*

Definition 2.4. *A subset $Y \subset X$ is Lyapunov stable for a map $F : X \rightarrow X$ if for every neighborhood U of Y , there is a neighborhood $V \subset U$ of Y such that $F^n(V) \subset U$ for any $n \geq 0$.*

Let B be a compact riemannian manifold. Fix a transitive Anosov diffeomorphism $A : B \rightarrow B$. Consider a product $X = B \times S^1$ (we will call B the base and S^1 the fiber) and a skew product diffeomorphism

$$F : X \rightarrow X \quad (x, y) \mapsto (A(x), f_x(y)).$$

The map f_x is called the fiber map above x .

For $r \geq 2$ let P^r be the set of all such skew products that are C^r -smooth, partially hyperbolic in the narrow sense (see the definition in [Pes, §2.2], narrow sense means that the invariant splitting is of type $E^u \oplus E^c \oplus E^s$) with central direction tangent to the skew product fibers, and all fiber maps $f_x(y)$ preserve the orientation of S^1 . Endow P^r with metric

$$\text{dist}(F, G) = \text{dist}_{C^r(X)}(F, G) + \text{dist}_{C^r(X)}(F^{-1}, G^{-1}).$$

Theorem A. *There is a residual subset $R \subset P^r$ such that for all $F \in R$ the statistical attractor of F*

- *coincides with the Milnor attractor*
- *is Lyapunov stable*
- *either has zero Lebesgue measure or coincides with the whole phase space X .*

Corollary B. *For any $F \in R$ either the non-wandering set of F has zero Lebesgue measure or F is transitive and a generic point with respect to the Lebesgue measure has a dense orbit.*

3 Sketch of the proof

The proof is based on two well-known ideas. The first one is Theorem 11.16 from [BDV], which implies the following as an easy consequence:

Lemma 3.1 (consequence of [BDV], theorem 11.16). *For any C^2 -smooth partially hyperbolic diffeomorphism with invariant splitting of type $E^u \oplus E^{cs}$ the statistical ω -limit set of almost any point with respect to the Lebesgue measure is saturated by the unstable leaves, i.e. if $x \in \omega_{\text{stat}}(y)$, then $W^u(x) \subset \omega_{\text{stat}}(y)$.*

Note that this result is applicable for systems with invariant splitting of type $E^u \oplus E^c \oplus E^s$, since we may take $E^{cs} = E^c \oplus E^s$. An analog of Lemma 3.1 also holds for ω -limit sets, see [MO].

The second idea is to use semicontinuity technique to obtain Lyapunov stability. We will prove an analog of the following theorem in C^r for skew products with the fiber a circle.

Theorem 3.2 ([MP], Theorem 6.1). *For a C^1 -topologically generic diffeomorphism and any periodic point p the point p is hyperbolic and the closure of the unstable manifold of p is Lyapunov stable.*

Remark. *This theorem is stated in [MP] for singularities of vector fields. The formulation above is from [ABD, §3.1].*

Now we can give the plan of our proof. Assume for simplicity that the Anosov diffeomorphism A in the base has a fixed point p . If this is not the case, we need to consider a periodic point and adapt some parts of the proof — see section 10. Consider $f_p : \{p\} \times S^1 \rightarrow \{p\} \times S^1$, the fiber map above p of the skew product F . For generic skew products the map f_p is a Morse-Smale diffeomorphism and has finitely many attractors $a_i \in \{p\} \times S^1$.

Consider the closures of the unstable leaves of the points a_i (notation: $\overline{W^u}(a_i)$). Note that since a_i is an attractor of f_p , the leaf $W^u(a_i)$ is also the unstable manifold of the periodic point a_i . Under some genericity assumption on the skew product we prove that for a Lebesgue-generic point x the set $\omega_{\text{stat}}(x)$ contains at least one of the points a_i . By Lemma 3.1 the set $\omega_{\text{stat}}(x)$ contains $\overline{W^u}(a_i)$ as well. But by the analog of Theorem 3.2 the set $\overline{W^u}(a_i)$ is Lyapunov stable. Using this fact and the definition of Lyapunov stability, it is easy to prove

that $\omega_{stat}(x) = \overline{W^u}(a_i)$. Thus A_{stat} is a union of some of the sets $\overline{W^u}(a_i)$ and therefore is Lyapunov stable. A Lyapunov stable A_{stat} always coincides with A_M .

If A_{stat} has positive measure, it must (since it is invariant) intersect the A_{stat} of the inverse skew product, which is Lyapunov stable under the inverse system. Using the intersection, we show that for some i the set $\overline{W^u}(a_i) \subset A_{stat}$ coincides with $\overline{W^s}(r_j)$ for some repelling periodic point r_j of the map f_p . This set is Lyapunov stable for both F and F^{-1} . Then it is easy to show that this set is the whole phase space.

4 Notation

We continue the notation introduced before the statement of Theorem A.

$r = 2, 3, \dots, \infty$ is a fixed number that denotes the smoothness of the class C^r for which we prove the theorem.

Leb is the probability (i.e. $Leb(X) = 1$) Lebesgue measure on X .

$W^s(W^u, W^c, W^{cs}, W^{cu})$ denotes a stable (unstable, center, center-stable, center-unstable) leaf.

$x \rightsquigarrow y$, is written for $x, y \in X$ if arbitrary small neighborhoods of x and y are connected by a trajectory going from the neighborhood of x to the neighborhood of y .

$Sat(F) \subset X$ is the set of all points $x \in X$ such that $\omega_{stat}(x)$ is saturated by the unstable leaves. By Lemma 3.1 above $Leb(Sat) = 1$.

p : we choose and fix any fixed point $p \in B$ of the Anosov diffeomorphism A . If A has no fixed points, we need to consider a periodic point and adapt some parts of the proof — see section 10.

S_p^1 is the fiber $\{p\} \times S^1$ above the fixed point p .

$f_p : S_p^1 \rightarrow S_p^1$ is the fiber map above p of the skew product F .

$P_{MS}^r \subset P^r$ is the set of C^r -skew products, such that the map f_p is Morse-Smale for the point p fixed above. Since Morse-Smale maps form an open and dense subset of $\text{Diff}_+^r(S^1)$, the set P_{MS}^r is an open and dense subset of P^r .

$a_i \in X$ are the attracting periodic points of the Morse-Smale map f_p .

$r_i \in X$ are the repelling periodic points of f_p .

$\pi_B : X \rightarrow B$ is the projection on the base along the fibers.

5 Preliminaries

Since A is transitive, the unstable leaves of A are dense in B (see [Pes, §2.1]). Note that the (un)stable leaves of F are mapped in the (un)stable leaves of A by the projection π_B .

The following lemma easily follows from the definition of Lyapunov stability.

Lemma 5.1. *If $x \rightsquigarrow y$ and x belongs to some closed Lyapunov stable set, then y also belongs to this set.*

Lemma 5.2. *Let $A \subset X$ be closed and Lyapunov stable for F . Then for any $x \in X$*

- if $\omega(x)$ intersects A , then $\omega(x) \subset A$
- if $\omega_{stat}(x)$ intersects A , then $\omega_{stat}(x) \subset A$.

Proof. It is an immediate consequence of Lemma 5.1, since for any $x, y \in \omega(x)$ (or $\omega_{stat}(x)$) $x \rightsquigarrow y$. \square

Lemma 5.3. *If A_{stat} is Lyapunov stable, then it coincides with A_M .*

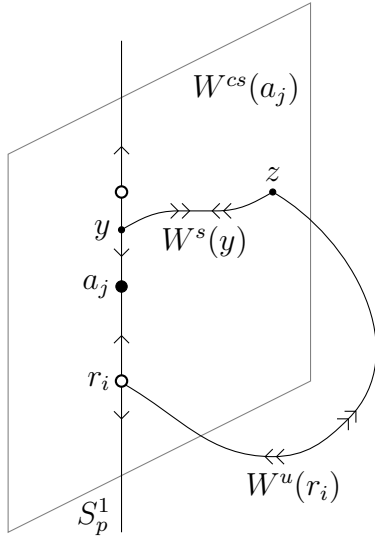
Proof. Note that A_{stat} always is a subset of A_M (it was first proved in [AAISh, §8.2]). Indeed, for any point x it is easy to see that $\omega_{stat}(x) \subset \omega(x)$, thus $A_{stat} \subset A_M$. Let us prove that $A_M \subset A_{stat}$ if A_{stat} is Lyapunov stable. By the definition of A_{stat} for almost any point x we have $\omega_{stat}(x) \subset A_{stat}$, thus $\omega(x)$ intersects A_{stat} . By Lemma 5.2 this implies $\omega(x) \subset A_{stat}$. \square

This means that we only need to prove that A_{stat} is Lyapunov stable and either has zero measure or coincides with the whole phase space. So we study A_{stat} , and the word “attractor” will refer to A_{stat} .

We will often use that a C^r -small perturbation of a skew product is also small in the topology of P^r . It follows from the following known consequence of the implicit function theorem (see [BRWZ, Lemma 3.2]):

Lemma 5.4. *The map $F \mapsto F^{-1}$ is a homeomorphism of $\text{Diff}^r(M)$.*

6 Attracting to a_i



In this section we prove that under certain genericity assumption for any point $x \in Sat$ there is a number j such that $a_j \in \omega_{stat}(x)$. First we state this assumption (cf. the picture).

Assumption 6.1. *The map f_p is Morse-Smale and for any repeller r_i of f_p there are*

- a point z on the unstable leaf of r_i
- and a point y on the stable leaf of z
- such that $y \in S_p^1$ and y is not a repeller of f_p .

Then we prove that this assumption is generic.

Lemma 6.2. *Systems satisfying assumption 6.1 form an open and dense subset of P^r .*

Proof. We start with the main idea of the proof, ignoring some technical details. Consider the stable leaf $W^s(p, A)$ of the point p for the map A . Take an open ball $W_1^s(p, A)$ in this leaf with center p and radius 1. Define the set

$$T = W_1^s(p, A) \times S^1, T \subset W^{cs}(a_j).$$

Note that T is transversal to the unstable leaves of F and is foliated by the local stable leaves of the points of S_p^1 . Fix any intersection point

$$z_B \in W_1^s(p, A) \cap (W^u(p, A) \setminus \{p\}).$$

Such point exists since the unstable leaves of A are dense. Given F and r_i , we define a map $\pi_B^{-1} : W^u(p, A) \mapsto W^u(r_i)$ that maps a point of $W^u(p, A)$ to the unique point of $W^u(r_i)$ above it. Set $z = \pi_B^{-1}(z_B)$, then $z \in W^u(r_i) \cap T$.

Now we can consider the following condition:

$$z \text{ is not in a local stable leaf of some repeller of } f_p. \quad (*)$$

Note that $*$ implies assumption 6.1 with $y = W_{loc}^s(z) \cap S_p^1$. The fact that $*$ is an open and dense condition is obvious, because the union of the local stable leaves of the repellers of f_p has codimension at least one as a subset of T .

Now we give a formal proof of the lemma using the notations above. It is enough to prove that for any $F_0 \in P_{MS}^r$ assumption 6.1 holds on an open and dense subset of some small neighborhood $U \subset P^r$ of F_0 . Take the neighborhood U so small that $a_i(F_0)$ can be continued on U and no new attractors of f_p appear. Now we fix i and check that assumption 6.1 is satisfied for this i on an open and dense subset of U .

We assume that $A^{-1}(z_B) \notin W_1^s(p, A)$, replacing z_B by $A^{-l}(z_B)$ for some l if necessary. Now for any $F \in U$ we may consider the point z as above, and state condition $*$.

Openness of condition $*$ is obvious. To prove its density, we perturb the fiber map f_c , where $c = A^{-1}(z_B)$, in such way that f_d is fixed

- for any point $d \in \pi_B(T)$,
- for d close to p ,
- for $d = A^{-k}(z_B)$, $k \geq 2$.

Since $c \notin W_1^s(p, A)$, such perturbation preserves $W^s(r_j) \cap T$ for all j by the first condition. The second condition means that a small piece of $W^u(r_i)$ around r_i is preserved. Since the whole $W^u(r_i)$ is obtained by iterating forward this piece, the third condition implies that the point $F^{-1}(z) \in W^u(r_i)$ is also preserved. The fiber coordinate of z is $f_c(t)$, where t is the fiber coordinate of $F^{-1}(z)$. Thus we can move the point z away from the stable manifolds of the repellers by perturbing the fiber map f_c . \square

And now we can prove the required statement.

Lemma 6.3. *If assumption 6.1 holds, then for any point $x \in \text{Sat}$ there is a number j such that $a_j \in \omega_{stat}(x)$.*

Proof. The projections on the base of the unstable leaves of F are the unstable leaves of A and thus are dense in B . Hence if $x \in \text{Sat}$, then $\omega_{stat}(x)$ intersects S_p^1 . There are two possibilities.

Case 1. The intersection $\omega_{stat}(x) \cap S_p^1$ contains a point q that is not a repeller of f_p . Then for some j the sequence $s_n = F^n(q)$, $s_n \in S_p^1$ has a_j as a limit point. Since $\omega_{stat}(x)$ is invariant and closed, we see that $a_j \in \omega_{stat}(x)$.

Case 2. The intersection $\omega_{stat}(x) \cap S_p^1$ contains only the repellers of f_p . Let $r_i \in \omega_{stat}(x)$. Using assumption 6.1, we get the points z and y . Since $x \in \text{Sat}$ and $z \in W^u(r_i)$, we have $z \in \omega_{stat}(x)$. The sequence $s_n = F^n(y)$ has some a_j as a limit point. Since $\text{dist}(F^n(y), F^n(z)) \rightarrow 0$, a_j is also a limit point of the sequence $s'_n = F^n(z)$. As above, it follows that $a_j \in \omega_{stat}(x)$. \square

7 $\overline{W^u}(a_i)$ are Lyapunov stable

The goal of this section is to prove that the assumption in the name of the section is generic. First we give the precise statements.

Assumption 7.1. *The map f_p is Morse-Smale and the set $\overline{W}^u(a_i)$ is Lyapunov stable for any attractor a_i of f_p .*

Lemma 7.2. *Skew products satisfying assumption 7.1 form a residual subset of P^r .*

Proof. Note that $W^u(a_i)$ coincides with the unstable manifold of the periodic point a_i , so Lemma 7.2 is very similar to Theorem 3.2. The difference is that C^1 is replaced by C^r , the statement is a little weaker and is claimed only for skew products with circle fiber. This lemma is proved in four steps. The first three steps exactly mimic the proof of Theorem 3.2, so we will just sketch them.

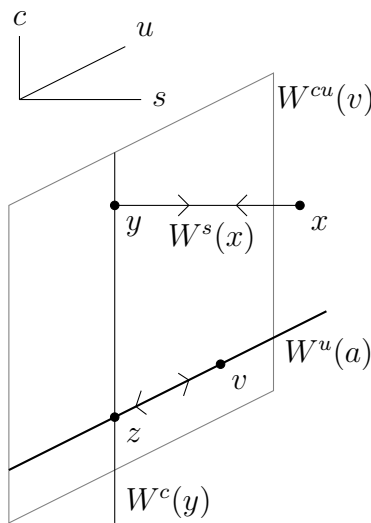
Step 1. Lemma 7.2 is reduced to a local version, stating that for any $F \in P_{MS}^r$ there is a small neighborhood $U \ni F$, such that diffeomorphisms satisfying assumption 7.1 form a residual subset of U . Since f_p is Morse-Smale, the points a_i survive in U for U small enough, and no new a_i appear. So for any i we can consider a set-valued function $\overline{W}^u(a_i) : U \rightarrow \mathcal{K}(X)$, where $\mathcal{K}(X)$ is the set of all compact subsets of X , endowed with Hausdorff metric, and a_i is the continuation of the periodic saddle $a_i(F)$.

Step 2. Note that these functions are lower-semicontinuous (see [ABC, §2.5] for the definition of semicontinuity). This follows from the fact that any compact part of $W^u(a_i)$ continuously depends on the map (recall that $W^u(a_i)$ coincides with the unstable manifold of periodic point a_i).

Step 3. A standard result in general topology (the semicontinuity lemma, see [ABC, §2.5]) states that continuity points of a lower-semicontinuous function (with values in the set of closed subsets of a manifold) form a residual subset. Thus, $\overline{W}^u(a_i)$ depend on the map continuously on a residual subset of U . This holds in C^r for any $r \geq 1$.

Step 4. We show that if $\overline{W}^u(a_i)$ is Lyapunov unstable, it depends on the map discontinuously. This is done in the proof of Theorem 3.2 for $r = 1$ using the connecting lemma. However, we need $r > 1$ in order to use Lemma 3.1. Lemma 7.3 below makes the last step for skew products with one-dimensional fiber for any r , using a monotonicity argument instead of the connecting lemma. Thus, Lemma 7.2 has been reduced to Lemma 7.3. \square

Lemma 7.3. *Let $F \in P_{MS}^r$. Assume that for some attractor a of f_p the set $\overline{W}^u(a)$ is Lyapunov unstable. Then this set depends discontinuously on the skew product at the point F .*



Proof. Since $\overline{W}^u(a)$ is Lyapunov unstable, there is a real number $c > 0$ such that there is a point $x \in X$ arbitrary close to $\overline{W}^u(a)$ that runs c -away from this set:

- there are two points x and v arbitrary close, $v \in W^u(a)$,
- $\text{dist}(F^n(x), \overline{W}^u(a)) > c$ for some $n \in \mathbb{N}$.

Let us take a new run-away point $y = W_{loc}^{cu}(v) \cap W_{loc}^s(x)$ (cf. the picture). It is on the same fiber as the point $z = W_{loc}^u(v) \cap W_{loc}^c(y)$, $z \in W^u(a)$.

We may assume that x and v are very close. Then the local leaves in consideration are small and almost straight, and n is large. Then y is close to z . Since x and y are connected by a small arc of a stable leaf and n is large, $\text{dist}(F^n(y), F^n(x)) < c/2$.

Thus we obtained two points y and z arbitrary close on the same fiber with

$$z \in W^u(a), \text{dist}(F^n(y), \overline{W}^u(a)) > c/2.$$

Now we use this pair of points to construct a skew product \tilde{F} such that

- \tilde{F} is $\text{dist}(y, z)$ -close to F in C^r topology
- $F^n(y) \in W_{\tilde{F}}^u(\tilde{a})$, where \tilde{a} is the continuation of a .

Since $F^n(y)$ is at least $c/2$ -away from $\overline{W^u}(a)$, so is $\overline{W_{\tilde{F}}^u}(\tilde{a})$. Thus existence of such \tilde{F} implies that $\overline{W^u}(a)$ depends discontinuously on the skew product.

Given $f : S^1 \mapsto S^1$, define $f + b = R_b \circ f$, where R_b is the rigid rotation by angle $2\pi b$. Consider a family of skew products F_b obtained by adding b to all fiberwise maps of F :

$$F_b : X \rightarrow X \quad (x, y) \mapsto (A(x), (f_x + b)(y)) \quad b \geq 0.$$

Let us prove by the intermediate value theorem that for some $b \in [-\varepsilon, \varepsilon]$ we have $F^n(y) \in W_{F_b}^u(a_b)$, where a_b is the continuation of a . This will give the map \hat{F} we seek.

We lift the restriction of F_b on $W_A^u(p) \times S^1$ to a continuous map

$$\hat{F}_b : W_A^u(p) \times \mathbb{R} \rightarrow W_A^u(p) \times \mathbb{R}$$

in such way that \hat{F}_b continuously depends on b . Set $\hat{F} = \hat{F}_0$. Let us also lift the points a_b, z, y to the points $\hat{a}_b, \hat{z}, \hat{y}$ in such way that $\hat{z} \in W_{\hat{F}}^u(\hat{a}_0)$, $\text{dist}(\hat{z}, \hat{y}) = \text{dist}(z, y)$.

Denote by \hat{z}_b the unique point of $W_{\hat{F}_b}^u(\hat{a}_b)$ on the fiber of \hat{z} . What we need to prove is that for some b the points \hat{z}_b and $\hat{y}_b = \hat{F}_b^{-n}(\hat{F}^n(y))$ coincide. They lie on the same fiber \mathbb{R} for any b . For $b = 0$ they are ε -close, assume WLOG that $\hat{z}_0 < \hat{y}_0 < \hat{z}_0 + \varepsilon$.

Now let us replace $b = 0$ by $b = \varepsilon$. The set $W_{\hat{F}_b}^u(\hat{a}_b)$ is as a plot of a function from $W_A^u(p) \subset B$ to the fiber \mathbb{R} . After the change of b the point \hat{a}_b will stay on its center fiber and move in the positive direction. Thus the value of this function will increase at the points corresponding to a small piece of $W_{\hat{F}_b}^u(\hat{a}_b)$ around a_b . Since the whole $W_{\hat{F}_b}^u(\hat{a}_b)$ is obtained by iterating forward this small piece, and the fiberwise maps are increased by ε , the value of this function will increase by at least ε at any point. Thus $\hat{z}_\varepsilon > \hat{z}_0 + \varepsilon$. The point \hat{y}_b will move in the negative direction ($\hat{y}_\varepsilon < \hat{y}_0$), since when we increase the fiberwise maps, their inverse maps decrease. So for $b = \varepsilon$ we have $\hat{y}_\varepsilon < \hat{z}_\varepsilon$. To finish the proof, we use the intermediate value theorem. \square

8 Proof of Theorem A

Let us start by defining the residual subset $R \subset P^r$ on which the Theorem A holds. The set R is formed by all skew products $F \in P^r$ such that

- $F \in P_{MS}^r$, and for any attractor a_i of the map f_p the set $\overline{W^u}(a_i)$ is Lyapunov stable,
- for any $x \in \text{Sat}$ there is a number i , such that $a_i \in \omega_{\text{stat}}(x)$,
- these two properties also hold for the inverse skew product F^{-1} .

The first two properties give a residual subset by sections 6 and 7. Since $F \mapsto F^{-1}$ is a homeomorphism between $P^r(A)$ and $P^r(A^{-1})$ by Lemma 5.4, the third condition also defines a residual subset.

Let us prove that the attractor is Lyapunov stable.

Lemma 8.1. *For any $F \in R$*

1. *for any $x \in \text{Sat}$ there is a number i , such that $\omega_{\text{stat}}(x) = \overline{W^u}(a_i)$,*

2. denote by I the set of numbers i such that $\text{Leb}(\{x : \omega_{\text{stat}}(x) = \overline{W^u}(a_i)\}) > 0$. Then

$$A_{\text{stat}} = \cup_{i \in I} \overline{W^u}(a_i),$$

3. for any $i \in I$ the set $\overline{W^u}(a_i)$ is weakly transitive, i.e. for any $y, z \in \overline{W^u}(a_i)$ we have $y \rightsquigarrow z$,

4. A_{stat} is Lyapunov stable.

Proof.

1. Consider any $x \in \text{Sat}$. Since $F \in R$ the set $\omega_{\text{stat}}(x)$ contains at least one of the a_i . Since $\omega_{\text{stat}}(x)$ is saturated by the unstable leaves, we have $\overline{W^u}(a_i) \subset \omega_{\text{stat}}(x)$. The set $\overline{W^u}(a_i)$ is Lyapunov stable, so $\omega_{\text{stat}}(x) = \overline{W^u}(a_i)$ by Lemma 5.2.
2. By Lemma 3.1 $\text{Leb}(\text{Sat}) = 1$. So this follows from item 1.
3. Consider a point x with $\omega_{\text{stat}}(x) = \overline{W^u}(a_i)$. Since the orbit of x comes arbitrary close to both y and z , $y \rightsquigarrow z$.
4. This follows from item 2 since the sets $\overline{W^u}(a_i)$ are Lyapunov stable. \square

Remark. The sets $\overline{W^u}(a_i)$ may coincide, include each other, or intersect. However, the sets $\overline{W^u}(a_i)$ that belong to the attractor either coincide or are disjoint. We do not prove this remark, since it is not used below.

Let us prove that the attractor is not thick.

Lemma 8.2. For any $F \in R$ either $\text{Leb}(A_{\text{stat}}(F)) = 0$ or $\omega_{\text{stat}}(x) = X$ for Leb-almost any $x \in X$.

Proof. Assume that $\text{Leb}(A_{\text{stat}}(F)) > 0$. Since the attractor is closed and invariant, it contains statistical α -limit sets (i.e. statistical ω -limit sets under F^{-1}) of all its points. Since these points form a set of positive measure, $A_{\text{stat}}(F)$ intersects with $A_{\text{stat}}(F^{-1})$. Applying item 2 of Lemma 8.1 to both F and F^{-1} , we see that for some attractor a and repeller r (this r overrides the r introduced in the notation section for the duration of this proof) of the map f_p the sets $\overline{W^u}(a)$ and $\overline{W^s}(r)$ intersect. Denote any intersection point of this sets by c . Recall that by item 2 of Lemma 8.1 the set $\overline{W^u}(a)$ is Lyapunov stable, while $\overline{W^s}(r)$ is Lyapunov stable for F^{-1} .

Let us prove that $\overline{W^s}(r) \subset \overline{W^u}(a)$. Consider any $x \in \overline{W^s}(r)$. Applying item 3 of Lemma 8.1 to F^{-1} , we see that $c \rightsquigarrow x$. Since $c \in \overline{W^u}(a)$, Lemma 5.1 implies that $x \in \overline{W^u}(a)$. Replacing F by F^{-1} in the previous argument, we get $\overline{W^u}(a) \subset \overline{W^s}(r)$, so these two sets coincide. Denote $Y = \overline{W^u}(a) = \overline{W^s}(r)$.

The set Y is forward and backwards Lyapunov stable, non-empty, closed, and invariant. So is the set $Y_p := Y \cap S_p^1$ (under the action of the Morse-Smale map f_p). Lemma 8.3 below states that any such set is equal to the whole circle: $Y_p = S_p^1$. Lemma 8.4 below states that any Lyapunov stable invariant set is saturated by unstable leaves. Hence, Y is saturated by both stable and unstable leaves. We claim that any point $x \in X$ can be connected with a point of S_p^1 by a path formed by stable and unstable leaves. This implies that $Y = X$. To prove the claim, connect the points $\pi_B x$ and p by a path formed by stable and unstable leaves of A in the base. Since (un)stable leaves of F project to (un)stable leaves of A by π_B , this path can be lifted to a path connecting x with some point of S_p^1 , formed by stable and unstable leaves of F .

Since $X = Y = \overline{W^u(a)}$, item 3 of Lemma 8.1 means that for any $y, z \in X$ we have $y \rightsquigarrow z$. Then Lemma 5.1 implies that for any i we have $\overline{W^u(a_i)} = X$. By item 1 of Lemma 8.1 for any $p \in \text{Sat}$ we have $\omega_{\text{stat}}(p) = X$. Since $\text{Leb}(\text{Sat}) = 1$, a *Leb*-generic point has a dense orbit. \square

Lemma 8.3. *Let g be a Morse-Smale circle diffeomorphism of S^1 , let $Y \subset S^1$ be forward and backward Lyapunov stable, non-empty, closed, and invariant. Then $Y = S^1$.*

Proof. Since S^1 is the only non-empty open and closed subset of S^1 , it is enough to prove that Y is open. To do so, take any $y \in Y$. If y is not a repeller of g , it belongs to a basin of attraction of some attractor a . Since $y \rightsquigarrow a$, by Lemma 5.1 we have $a \in Y$. Take any point b in the basin of attraction of a . Since $b \rightsquigarrow a$, by Lemma 5.1 we have $b \in Y$, thus Y contains the whole basin of attraction of a , and y is an interior point of Y . If y is a repeller, similar argument shows that the whole basin of repulsion of y belongs to Y , thus y is an interior point again. \square

Lemma 8.4. *Let $A \subset X$ be a Lyapunov stable closed invariant set. Then A is saturated by unstable leaves, i.e. $x \in A$ implies $W^u(x) \in A$.*

Proof. Let $x \in A$, $y \in W^u(x)$. Take any limit point z of the sequence $F^{-n}(x)$. Since $y \in W^u(x)$, $\text{dist}(F^{-n}(x), F^{-n}(y)) \rightarrow 0$, so $z \rightsquigarrow y$. Since A is closed and invariant, $z \in A$. Lemma 5.1 implies that $y \in A$. \square

Proof of Theorem A. Take the set $R \subset P^r$ defined at the beginning of this section. For any $F \in R$ the set $A_{\text{stat}}(F)$ is Lyapunov stable by Lemma 8.1 and coincides with $A_M(F)$ by Lemma 5.3. By Lemma 8.2 we see that either $\text{Leb}(A_{\text{stat}}(F)) = 0$ or $A_{\text{stat}}(F) = X$. \square

9 Proof of Corollary B

Lemma 9.1. ¹ *Let F be any diffeomorphism, such that $A_M(F)$ is Lyapunov stable and $\text{Leb}(A_M) = 0$. Then $\text{Leb}(\Omega(F)) = 0$, where Ω denotes the non-wandering set.*

Proof. Let B be the basin of attraction of A_M , i.e. $B = \{x \in X : \omega(x) \subset A_M\}$. We claim that if A_M is Lyapunov stable, any point $x \in B \setminus A_M$ is wandering. Assume the contrary. Take any $y \in \omega(x) \subset A_M$. Using that x is non-wandering, it is easy to see that $y \rightsquigarrow x$. By Lemma 5.1 we have $x \in A_M$, which is a contradiction.

Now note that $\text{Leb}(B) = 1$ (by the definition of A_M), so if $\text{Leb}(A_M) = 0$, then $\text{Leb}(B \setminus A_M) = 1$. Since Ω does not intersect $B \setminus A_M$, we have $\text{Leb}(\Omega) = 0$. \square

Proof of Corollary B. By Lemma 8.2 for any $F \in R$ either a Lebesgue-generic point has a dense orbit or $\text{Leb}(A_{\text{stat}}) = 0$. In the latter case, since A_{stat} is equal to A_M and Lyapunov stable (by Theorem A), Lemma 9.1 implies that $\text{Leb}(\Omega(F)) = 0$. \square

10 What if A has no fixed points?

We started our proof by taking a fixed point p of the Anosov diffeomorphism A . If there is no such fixed point, we consider a periodic point instead. The proofs above work in this situation with some minor changes that are hinted below. However, the author is not aware of any examples of Anosov diffeomorphisms on connected manifolds without a fixed point.

¹This lemma is a slightly improved version of the following statement by S. Minkov: if the Milnor attractor has positive measure, the attractor of the inverse diffeomorphism either has positive measure or is Lyapunov unstable.

- The point p is now periodic, not fixed.
- Instead of the fiber map f_p we need to consider g_p , the fiber map of $F^{\text{per}(p)}$ above p :

$$g_p = f_{A^{\text{per}(p)-1}(p)} \circ \cdots \circ f_p.$$

- Recall that the set P_{MS}^r is formed by skew products, such that the map g_p is Morse-Smale. We need to prove that the set P_{MS}^r is an open and dense subset of P^r . This follows from the fact that Morse-Smale maps form an open and dense subset of $\text{Diff}_+^r(S^1)$. Openness of P_{MS}^r is obvious. To prove density, note that we can perturb g_p as we want by perturbing the fiber map f_p .
- In the proof of Lemma 6.3 we should consider the map $F^{\text{per}(p)}$ instead of F .
- In the proof of Lemma 7.3 we need to consider the dynamics above the unstable manifold of the whole orbit of the point p in the base, not just $W_A^u(p)$.

11 Acknowledgements

The author is grateful to professor Yu.S. Ilyashenko for constant attention to this work and to I. Shilin and S. Minkov for useful discussions.

References

- [ABC] F. Abdenur, C. Bonatti, S. Crovisier, *Nonuniform hyperbolicity for C^1 -generic diffeomorphisms*, Israel J. Math. 183 (2011), 1-60
- [ABD] F. Abdenur, C. Bonatti, L.J. Diaz, *Non-wandering sets with non-empty interiors*, Nonlinearity, 2004
- [AAISh] V.I. Arnol'd, V.S. Afraimovich, Y.S. Ilyashenko, L.P. Shilnikov, *Bifurcation theory*, Itogi Nauki i Tekhniki. Seriya "Sovremennye Problemy Matematiki. Fundamental'nye Napravleniya", 1986
- [BRWZ] M. S. Baouendi, L. P. Rothschild, J. Winkelmann, D. Zaitsev, *Lie group structures on groups of diffeomorphisms and applications to CR manifolds*, Annales de l'Institut Fourier, 2004
- [BP] L. Barreira, Ya.B. Pesin, *Nonuniform Hyperbolicity: Dynamics of Systems with Nonzero Lyapunov Exponents*, Cambridge University Press, 2007
- [BDV] C. Bonatti, L.J. Diaz, M. Viana, *Dynamics Beyond Uniform Hyperbolicity*, Springer, 2004
- [CM] C. M. Carballo, C. A. Morales, *Homoclinic classes and finitude of attractors for vector fields on n -manifolds*, Bulletin of the London Mathematical Society, 2003
- [GI] A. Gorodetski, Yu. Ilyashenko, *Minimal and strange attractors*, Int. Journ. of Bif. and Chaos 6 (1996) 1177-1183
- [Ily] Yu.S. Ilyashenko, *Thick attractors of boundary preserving diffeomorphisms*, Indagationes Mathematicae, Vol. 22, Issues 3-4 (2011), 257-314

- [IKS] Yu. Ilyashenko, V. Kleptsyn, P. Saltykov, *Openness of the set of boundary preserving maps of an annulus with intermingled attracting basins*, Journal of Fixed Point Theory and Applications, 2008
- [ISh] Yu. S. Ilyashenko, I. S. Shilin, *Relatively unstable attractors*, Proceedings of the Steklov Institute of Mathematics, 2012
- [Kan] I. Kan, *Open sets of diffeomorphisms having two attractors, each with everywhere dense basin*, Bull. Amer. Math. Soc. 31 (1994), 68-74
- [KV] V. Kleptsyn, D. Volk, *Physical measures for nonlinear random walks on interval*, Mosc. Math. J., Volume 14, Number 2, 339–365 (2014)
- [KV2] V. Kleptsyn, D. Volk, *Nonwandering sets of interval skew products*, Nonlinearity 27 1595 doi:10.1088/0951-7715/27/7/1595 (2014)
- [Kud] Yu.G. Kudryashov, *Bony attractors*, Funct. Anal. Appl., 44:219–222, 2010.
- [Kud2] Yu. G. Kudryashov, *Des orbites périodiques et des attracteurs des systèmes dynamiques*, PhD thesis, ENS Lyon, December 2010.
- [Mil] J. Milnor, *On the concept of attractor*, Comm. Math. Phys. Volume 99, Number 2 (1985), 177-195
- [MO] S. Minkov, A. Okunev, *Omega-limit sets of generic points for $E^u \oplus E^{cs}$ -partially hyperbolic diffeomorphisms*, submitted to Functional Analysis and Its Applications
- [MP] C.A. Morales, M.J. Pacifico, *Mixing attractors for 3-flows*, Nonlinearity 14, 359-378 (2001)
- [OSh] A. Okunev, I. Shilin, *Milnor attractors of step skew products with one-dimensional fiber*, in preparation
- [Pes] Ya. Pesin, *Lectures on partial hyperbolicity and stable ergodicity*, European Mathematical Society, 2004
- [Shi] I. Shilin, *Locally topologically generic diffeomorphisms with Lyapunov unstable Milnor attractors*, in preparation